

Theoretical study on the structures and electronic properties of oligo(*p*-phenylenevinylene) carboxylic acid and its derivatives: effects of spacer and anchor groups

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Abstract The structural parameters of oligo(*p*-phenylenevinylene)carboxylic acid (OPV₃-COOH) and its derivatives were optimized using the density functionals B3LYP, M06, M06-HF, M06-2X and the MP2 method on 6-31G(d) basis set level. The results show that the structure from B3LYP calculation is more planar than from M06, M06-2X, M06-HF, and MP2, respectively. The structures of OPV₃-COOH obtained from various methods were used to calculate the electronic properties by time-dependent density functional theory calculation with the TD-CAM-B3LYP/6-311G(d,p) including conductor polarizable continuum model solvation to compare with the experimental absorption bands of this molecule. The excitation energies from the coplanar structure using M06-2X/6-31G(d) (406 nm) are closer to the experimental absorption data (430 nm) than the data from geometries optimized by M06-HF/6-31G(d) (362 nm) and MP/6-31G(d) (382 nm). Therefore, in this study, the M06-2X/6-31G(d) method was selected to investigate the effects of spacers for the structures and the electronic properties of OPV₃-COOH and some derivatives. Spacer groups such as thiophene, dithiophene and vinylenethiophene linking between chromophore and the cyanoacrylic acid (-CNCOOH) anchor group

can increase the electron transfer and expand the π -conjugated system to shift the absorption band into the visible light region. The more extended electron transfer and the red-shift of the absorption band for OPV₃-COOH and OPV₃-CNCOOH derivatives are desirable for absorbing sunlight and good employing as photo-sensitizer in dye-sensitized solar cell. Thiophene (OPV₃-Th-CNCOOH), dithiophene (OPV₃-diTh-CNCOOH) and vinylenethiophene (OPV₃-viTh-CNCOOH) as spacer lead to the expansion of the π -conjugated system and to bathochromically shifted absorption spectra. Therefore, the modeling of side chain, spacer and anchor group in this study suggests new sensitizer compounds that enhance the efficiency of electron transfer and absorption of the sunlight for new synthetic materials.

Keywords Dye-sensitized solar cell (DSSC) · Oligo(*p*-phenylenevinylene) carboxylic acid · Time-dependent density functional theory (TDDFT)

1 Introduction

The basis of sunlight energy absorption is the use of silicon-containing materials as semiconductors in solar cells. But the production of these semiconductors is expensive so that scientists try to develop dye-sensitized solar cells (DSSCs) [1, 2]. The first DSSCs were investigated by Regan and Grätzel et al. [2, 3] in 1991. The production of DSSCs is less expensive and the efficiency is close to the silicon-containing compound solar cells. The disadvantage of DSSCs is the poor stability at high temperatures and the short usage time. Therefore, the design of new DSSCs with enhanced properties, higher stability and longer usage time was the topic of further research. The most popular dyes

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that scientists developed are Ru(II) complexes, such as N3 ([Ru-(dcbpyH₂)₂(NCS)₂]), N719 ((Bu₄N)₂[Ru(dcbpyH)₂(NCS)₂]), because these are the best metal organic complex dyes [4]. The performance attained in solar-to-electric conversion efficiency of Ruthenium Dye N3 is 11 % [5]. Although the metal dye sensitizers as DSSCs have high extinction coefficients and good efficiency, the danger of environmental pollution exists. Therefore, there is also increasing interest in metal-free organic dyes [6] as new alternative sensitizers due to their many fold advantageous features, such as high molar extinction coefficients, easily modified molecular structure and relatively low cost materials. In general, such compounds possess both π - π^* and charge-transfer absorption bands in the electronic spectra, which correlates to the good electron injection efficiency in DSSCs. Power conversion efficiencies up to ~9 % have been achieved for DSSCs based on metal-free dyes [7–9]. Moreover, highly impressive the power conversion efficiency (PCEs) (~10 %) with excellent stability was also reported in very recent publications of Wang et al. [10].

Hal et al. [11] reported the interaction of an acid-functionalized, oligo(*p*-phenylenevinylene)-carboxylic acid (OPV₃-COOH) with nanocrystalline TiO₂ studied as a model for semiconducting polymer-inorganic material hybrid solar cells. Photoluminescence quenching and near steady-state photo-induced absorption spectroscopy demonstrate that an efficient forward photoinduced electron transfer occurs from OPV₃-COOH to TiO₂. However, a DSSC using OPV₃-COOH comparable to that observed for a Ru dye-sensitized cell demonstrates that not only the charge generation is efficient, but also the collection efficiency is high. Recently, Kim and coworkers [12] have synthesized three organic sensitizers containing bis-dimethylfluorenyl amino groups and a cyanoacrylic acid acceptor bridged by a *p*-phenylene vinylene unit. They found that the PCE was quite sensitive to the length of bridged phenylene vinylene groups. The maximum power conversion efficiency of JK-59 reached 7.02 %. These oligo(*p*-phenylene vinylenes) are being actively investigated for use in solar cells due to their stability and high luminescent efficiency [12–14]. In this investigation, we applied density functional theory (DFT) calculations to obtain some guidance for the synthesis of new materials for DSSCs.

A quantitative understanding of molecular electronic excited states is important in many disciplines, including spectroscopy, photochemistry and the design of optical materials [15–28]. The prediction or interpretation of the discrete part of the spectrum is a demanding task for theoretical methods, especially for medium- and large-sized molecules. Quantum chemical calculations are applied to obtain geometrical parameters as well as electronic

properties. Herein, we report the investigation of both spacer and anchor groups (Fig. 1) on the structures and the electronic properties of OPV₃-COOH and OPV₃-CNCOOH derivatives by quantum chemical calculations. From the ground-state geometries, electronic transitions were achieved by time-dependent density functional theory (TD-DFT) [29–32] calculations with the PBE0 functional [33, 34] and Coulomb-attenuated hybrid exchange–correlation functional (CAM-B3LYP) [35, 36]. These information leads to the new design of sensitizers for DSSCs. In this study, electronic properties, charge transfer, and the effect of spacers and anchors group of OPV₃-COOH and its derivatives were theoretically investigated.

2 Computational details

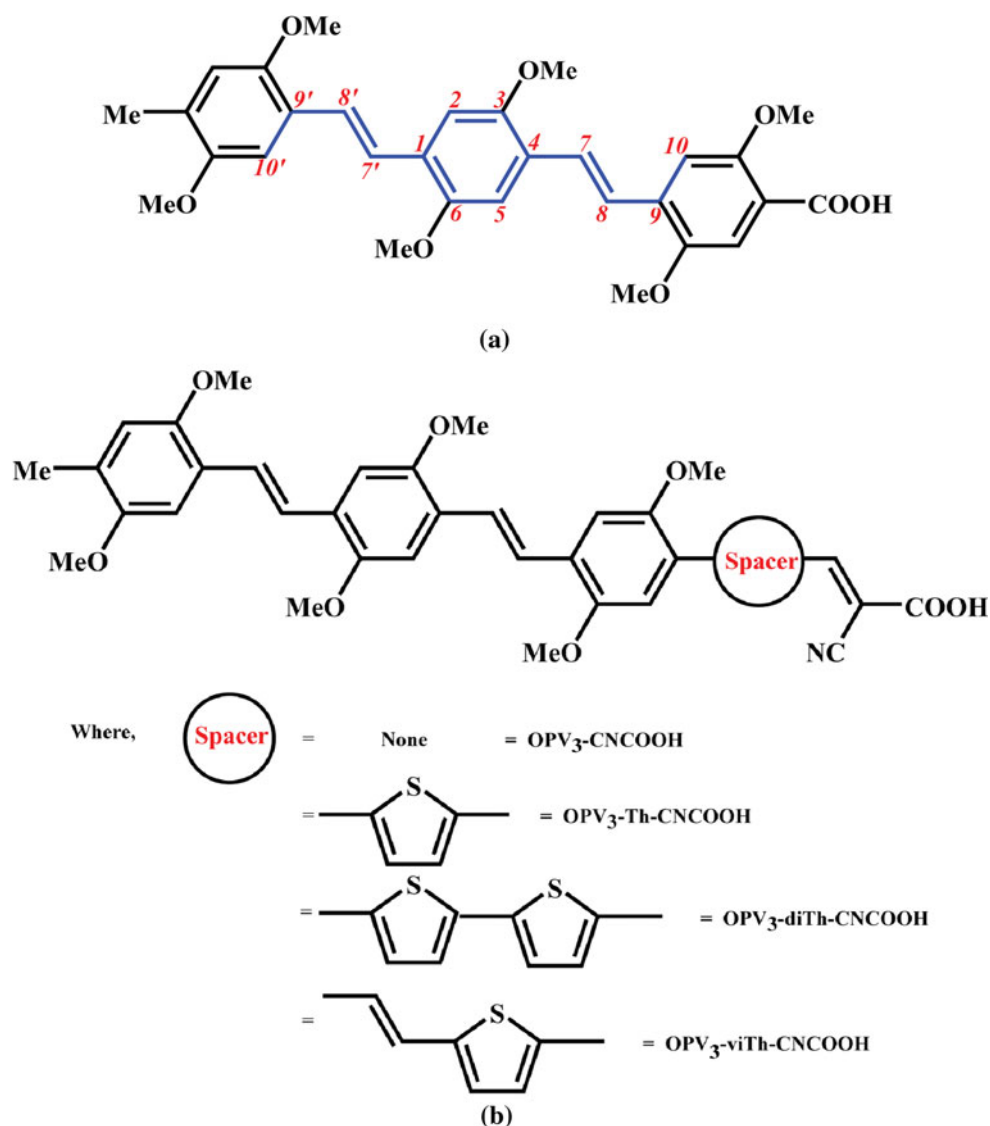
2.1 Ground-state calculations

The structures of OPV₃-CNCOOH derivatives were obtained by introducing spacer groups between the chromophore group and the anchor group. The spacer groups consist of thiophene (OPV₃-Th-CNCOOH), dithiophene (OPV₃-diTh-CNCOOH) and vinylenethiophene (OPV₃-viTh-CNCOOH) (shown in Fig. 1). The methoxy side chain groups are used instead of alkoxy group of OPV₃-COOH for reducing the computational time. This is because reports suggest that substituents at the alkoxy group play an important role in the thermal stability and solubility but do not affect the electronic properties of compounds [37]. The structures were fully optimized by using DFT method with hybrid functionals [38–42] (B3LYP, M06, M06-HF, M06-2X) and second-order Moller–Plesset Perturbation Theory (MP2) [43] methods at 6-31G(d) basis set level [44], respectively. The Beryn analytical gradient method was used for the optimizations. The requested convergence on the density matrix was 10⁻⁸, and the threshold values for the maximum force and the maximum displacement were 0.00045 and 0.0018 a.u., respectively. All calculations were implemented in Gaussian 09 [45]. These structures were confirmed to be local minima by the vibrational frequency analysis. The structural results such as bond lengths, bond angles and bond torsions are compared to X-ray crystallography data [46] with the mean relative errors defined as

$$\sum_{i=1}^n \frac{|X_{\text{Cal}} - X_{\text{Exp}}|}{|X_{\text{Exp}}|} / n \quad (1)$$

For conjugated molecular system, the structures were often described by bond length alternation (BLA) [47, 48], which is defined as differences in lengths between single and double bonds. In this work, BLA for the

Fig. 1 Schematic representation of (a) OPV₃-COOH and (b) OPV₃-CNCOOH derivatives. Labeled numbers are for the notation in this study



phenylenevinylene subunit of OPV₃-COOH and OPV₃-CNCOOH derivatives were defined as follow

$$\begin{aligned} \text{BLA} = & 2[(\text{C}2-\text{C}3) + (\text{C}5-\text{C}6)] - [(\text{C}1-\text{C}2) \\ & + (\text{C}3-\text{C}4) + (\text{C}4-\text{C}5) + (\text{C}1-\text{C}6)] \\ & + [(\text{C}4-\text{C}7) + (\text{C}8-\text{C}9)] - 2(\text{C}7-\text{C}8) \end{aligned} \quad (2)$$

2.2 Excited state calculations

The calculations of the excitation energies were then performed based on the ground-state geometries. Methods and basis set dependence of excitation energies calculated with TD-DFT were also investigated for OPV₃-COOH and OPV₃-CNCOOH derivatives, including functional oligomers with spacer and anchor groups. The electronic transitions were achieved by the PBE0 functional and CAM-B3LYP functional to investigate the electron

excitation absorption wavelengths, and energy states. The CAM-B3LYP functional has been demonstrated to provide good results for Rydberg transitions and excitations in small molecules as well as for intermolecular charge-transfer transitions [49, 50]. This new density functional has recently been developed specifically to overcome former limitations in charge-transfer transitions, and it has been shown to predict molecular charge transfer accurately. The calculations were used as the basis of TD-DFT calculations on the S₀ to S₁, S₂, S₃, S₄ and S₅ states of each molecule using 6-311G(d,p) basis set [51] in toluene solvent to investigate the chain length dependence of the vertical excitation energies of OPV₃-COOH and OPV₃-CNCOOH derivatives. Indeed, the basis sets additionally provide diffuse basis functions, which allow for a better representation of the tails of the wave functions. However, sufficiently converged results were obtained with the

Table 1 Structural parameters of OPV₃-COOH obtained from full optimization by B3LYP, M06 functionals and MP2 at 6-31G(d) level of basis set (bond lengths in Ångstrom, angles in degrees)

Structural parameters	B3LYP/6-31G(d)	M06/6-31G(d)	M06-HF/6-31G(d)	M06-2X/6-31G(d)	MP2/6-31G(d)	X-ray
Bond length						
C1–C2	1.395	1.400	1.395	1.398	1.404	1.380
C2–C3	1.395	1.387	1.392	1.388	1.394	1.390
C3–C4	1.395	1.412	1.401	1.407	1.412	1.390
C4–C5	1.395	1.402	1.396	1.399	1.405	1.400
C5–C6	1.395	1.386	1.391	1.387	1.394	1.340
C6–C1	1.395	1.412	1.403	1.408	1.412	1.370
C4–C7	1.540	1.452	1.479	1.463	1.460	1.470
C7–C8	1.326	1.348	1.336	1.342	1.353	1.320
C8–C9	1.540	1.455	1.481	1.465	1.461	1.470
Bond angle						
C3–C4–C7	120.0	119.5	119.1	119.6	119.6	125.3
C4–C7–C8	122.7	126.8	124.2	125.8	124.4	125.3
Torsion angle						
C5–C4–C7–C8	−30.3	−14.6	−28.0	−15.7	−27.3	−18.0
C7–C8–C9–C10	30.0	16.4	29.7	18.6	29.0	18.0
H–bond						
R1(O–H)	2.585	2.320	2.394	2.329	2.422	2.420
R2(O–H)	2.582	2.336	2.434	2.330	2.420	2.450
R3(O–H)	2.585	2.278	2.415	2.322	2.406	2.390
R4(O–H)	2.582	2.325	2.430	2.332	2.417	2.460
Relative error	0.020	0.010	0.012	0.010	0.013	–
BLA	0.428	0.131	0.259	0.182	0.158	0.22

BLA and the mean relative error values are also listed

valence double-zeta quality with polarization functions basis sets in close agreement with many previous studies for considering the computational costs and consistency [52].

The conductor polarizable continuum model (CPCM) is also applied [53–56] taking into account the solvation effect. Singlet electronic spectra, density of state (DOS) and electron polarizations between ground and excited states were obtained from TD-DFT data.

3 Results and discussion

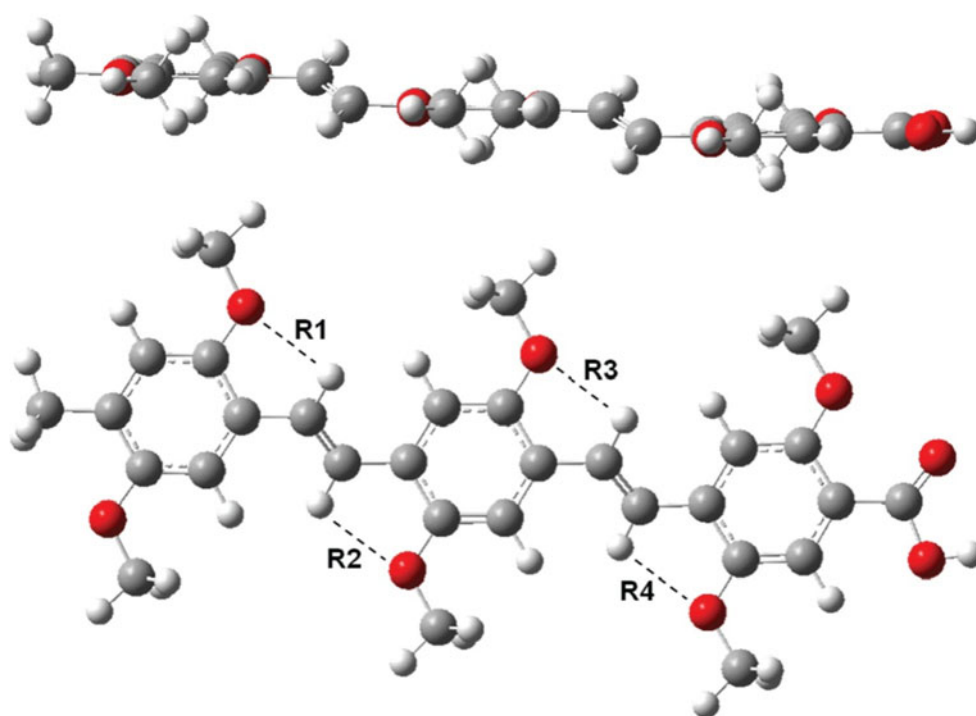
3.1 Method validation

3.1.1 The comparison of computed ground-state geometry parameters obtained from different theoretical methods with the experimental data for OPV₃-COOH

The optimized geometrical parameters using DFT method with hybrid functionals (B3LYP, M06, M06-HF, M06-2X) and MP2 method are presented in Table 1. The calculated parameters, bond lengths and bond angles, agree with the

X-ray crystallographic data reported by Stalmach et al. [46]. To simplify the presentation of the geometries of the phenylene ring of OPV₃-COOH (Fig. 1), for the bond lengths, only average values are given. From the mean relative errors (0.020, 0.010, 0.012, 0.010 and 0.013) and the BLA (0.428, 0.131, 0.259, 0.182 and 0.158), it can be seen that the optimized ground-state geometries obtained from B3LYP, M06, M06-HF, M06-2X and MP2, respectively, are in good agreement with the crystallographic data. The key parameters become closer to the experimental results with the 6-31G(d) basis set. The bond angles C3–C4–C7 and C4–C7–C8 in B3LYP/6-31G(d) and M06 functionals optimized geometries (about ~120° and ~120°) are similar and close to crystallographic data (about ~125.3°), but the torsion angles C4–C5–C7–C8 and C7–C8–C9–C10 are significantly different. Considering the torsion angles from the B3LYP method (−30.3° and 30.0°) and M06 functional, the OPV₃-COOH structure is non-planar with both phenylene ring oppositely twisted within ~30° apart from the planar configuration. For the solid state, both the non-planar (Fig. 2) and the planar structures of OPV₃-COOH should be considered. The different ground-state geometries obtained from different

Fig. 2 Side view of OPV₃-COOH optimized structure. Non-planar configuration and weak intramolecular hydrogen bonding between oxygen atoms on alkoxy groups and hydrogen atoms at vinylene linkage in OPV₃-COOH



theoretical methods are noteworthy because the accuracy of the structure prediction leads to reliable property calculations. The OPV₃-COOH structure is therefore optimized using a higher level method of calculation such as MP2.

In Table 1, OPV₃-COOH geometries obtained from B3LYP, M06, M06-HF, M06-2X and MP2/6-31G(d) are given. The presence of four intramolecular hydrogen bonds between oxygen atom of substituents and the hydrogen atoms at the vinylene linkage (O1-H1, O2-H2, O3-H3 and O4-H4) (Fig. 2) is shown. The hydrogen bond average distances between the oxygen atoms of the alkoxy groups and the hydrogen atoms at vinylene linkages are 2.58, 2.30 and 2.42 Å from geometries optimized using B3LYP, M06 functionals and MP2, respectively. The results from three optimized structures indicate that the average distance of R(O-H) of about 2.40 Å is in the range of weak intramolecular hydrogen bonds. This similar observation is supported by the work of Stalmach et al. [46] where it was found that the distance between oxygen and hydrogen atoms reaches values above 2.4 Å in X-ray structures of several compounds for 2,5-dialkoxy-substituted PPVs. Similar observations were reported by Suramitr et al. [57], where it is indicated that in OPV₃-COOH intramolecular hydrogen bonding between oxygen atom of alkoxy group and hydrogen atom at vinylene linkage occurs. Due to the steric effect, the structures are non-planar. The M06-HF, M06-2X and MP2 optimized geometrical parameters for OPV₃-COOH (bond lengths, bond angles, torsion angles) including BLA and relative error values are presented in Table 1. It is found that the mean relative error of bond

lengths (~ 0.010), bond angles (~ 120) and the BLA (~ 0.2) of the phenylenevinylene ring is close to X-ray crystallographic data. Therefore, in next section, electronic transitions of OPV₃-COOH were investigated to confirm the better configuration structure for ground-state geometry optimizations from different methods. It is indicated that M06-HF, M06-2X and MP2 methods are reliable for the calculation of the ground-state geometries of OPV₃-COOH and its derivatives. The M06 families of functionals are highly parameterized forms of standard meta-hybrid approximations and dispersion correcting atom-centered one-electron potentials. These approaches are recommended that the dispersion energy is highlighted for study in conformational problems [58].

3.1.2 Comparison of the electronic transitions calculated from ground-state geometries optimized with M06-HF, M06-2X functionals and MP2 method

Electronic transitions of OPV₃-COOH derivatives were investigated to get information about the applicability of various methods. Excitation energies and oscillator strengths for the transition from ground state (S_0) to S_1 , S_2 , S_3 and S_4 states of OPV₃-COOH were calculated using the TD-DFT method including the solvation effect of toluene at the PBE0 and CAM-B3LYP with 6-311G(d,p) level, using M06-HF, M06-2X, and MP2 optimized ground-state structures. PCM employs the parameters and iterative calculations to take the toluene solvation effect into account. Comparisons of the predicted values of the

absorption band of this molecule in toluene [11] were made in Table 2. TD-PBE0 and TD-CAM-B3LYP calculations on all ground-state geometries are used to predict the oscillator strengths. Calculations of electronic transition lead to two important transitions: $S_0 \rightarrow S_1$ and the $S_0 \rightarrow S_4$ states. It is found that the $S_0 \rightarrow S_1$ transition corresponding to the excitation from the HOMO to the LUMO is dominant as indicated by large oscillator strengths ($f \approx 1.5$), while other $S_0 \rightarrow S_4$ states were found to be relevant in the energy region of the UV absorption ($f \approx 0.1$). Therefore, in our study, only the calculated $S_0 \rightarrow S_1$ excitations are investigated to correspond to the maximum absorption peak observed in experimental UV-vis spectrum.

In Table 2, the absorption wavelengths of the $S_0 \rightarrow S_1$ transition of OPV₃-COOH structures obtained from TD-PBE0 based on M06-HF, M06-2X and MP2 ground-state geometries are 425 (2.92 eV), 468 (2.65 eV) and 445 nm (2.78 eV), respectively. The TD-PBE0 values are in good agreement with the observed value. However, the DSSC systems investigated clearly exhibit a charge-transfer character. For this specific subset, it appears that TD-PBE0 is generally adequate, but for states featuring a significant charge-transfer character, for which TD-CAM-B3LYP has the edge [59, 60].

The CAM-B3LYP was also examined for taking account of the long-range corrections for describing this

Table 2 Calculated transitions for OPV₃-COOH using PCM-TD-DFT, PBE0 and CAM-B3LYP functionals at 6-311G(d,p) basis set, compared to the experimental absorption wavelengths in the toluene solvent

State	Eex (nm)	Eex (eV)	<i>f</i>	Transition characters
<i>PCM-TD-PBE0/6-311G(d,p)</i>				
M06-HF/6-31G(d) geometry				
$S_0 \rightarrow S_1$	425	2.92	1.231	H → L (90 %)
$S_0 \rightarrow S_2$	366	3.39	0.057	H-1 → L (91 %)
$S_0 \rightarrow S_3$	353	3.51	0.084	H-2 → L (88 %)
$S_0 \rightarrow S_4$	338	3.67	0.127	H → L + 1 (86 %)
M06-2X/6-31G(d) geometry				
$S_0 \rightarrow S_1$	460	2.70	1.640	H → L (97 %)
$S_0 \rightarrow S_2$	376	3.30	0.039	H-1 → L (93 %)
$S_0 \rightarrow S_3$	362	3.43	0.030	H-2 → L (93 %)
$S_0 \rightarrow S_4$	343	3.61	0.062	H → L + 1 (94 %)
MP2/6-31G(d) geometry				
$S_0 \rightarrow S_1$	439	2.82	1.412	H → L (87 %)
$S_0 \rightarrow S_2$	365	3.40	0.012	H-1 → L (87 %)
$S_0 \rightarrow S_3$	340	3.65	0.252	H → L + 1 (78 %)
$S_0 \rightarrow S_4$	336	3.69	0.108	H-2 → L (78 %)
<i>PCM-TD-CAM-B3LYP/6-311G(d,p)</i>				
M06-HF/6-31G(d) geometry				
$S_0 \rightarrow S_1$	362	3.42	1.703	H → L (74 %)
$S_0 \rightarrow S_2$	315	3.93	0.009	H-2 → L (46 %) + H-1 → L (20 %)
$S_0 \rightarrow S_3$	298	4.16	0.095	H-2 → L (26 %) + H-1 → L (23 %)
$S_0 \rightarrow S_4$	277	4.47	0.145	H-3 → L (36 %) + H → L + 1 (17 %)
M06-2X/6-31G(d) geometry				
$S_0 \rightarrow S_1$	406	3.05	1.917	H → L (84 %)
$S_0 \rightarrow S_2$	330	3.76	0.028	H-2 → L (-30 %) + H-1 → L (37 %)
$S_0 \rightarrow S_3$	312	3.97	0.075	H-2 → L (44 %) + H-1 → L (17 %)
$S_0 \rightarrow S_4$	292	4.24	0.117	H-3 → L (43 %) + H → L + 1 (19 %)
MP2/6-31G(d) geometry				
$S_0 \rightarrow S_1$	382	3.25	1.754	H → L (78 %)
$S_0 \rightarrow S_2$	310	4.00	0.005	H-2 → L (-12 %) + H-1 → L (35 %)
$S_0 \rightarrow S_3$	302	4.11	0.147	H-2 → L (58 %) + H-1 → L (10 %)
$S_0 \rightarrow S_4$	281	4.41	0.076	H-3 → L (28 %) + H-4 → L (-27 %)
Expt.	430	2.88		

system. The CAM-B3LYP was also examined for taking account of the long-range corrections for describing this system. The CAM-(Coulomb-attenuating method) B3LYP was also examined for taking account of the long-range corrections for describing the long π -conjugation. The wavelength of the structures obtained from M06-2X method 406 (3.05 eV) is closer to experimental data of 430 nm (2.88 eV) than from M06-HF and MP2 methods. This indicates that the Minnesota classes of M06-2X functionals with long-range and/or dispersion corrections hybrids or double-hybrids are more accurate than the M06-HF and MP2 levels of theory [50, 61–65]. From our calculated electronic transitions with PCM-TD-CAM-B3LYP method compared with the experimental data, the results from the ground-state geometries of OPV₃-COOH derivatives using M06-2X/6-31G(d) optimization are in better agreement than obtained from M06-HF and MP2 methods. M06-HF is not as good as other high-quality density functionals for valence excitations. It is the best for long-range charge-transfer excitation. When the use of full Hartree–Fock exchange is important, for example to avoid the error of self-interaction at long-range, the M06-HF functional can be recommended since it has reasonably good overall performance (excluding transition metals), even though it has full Hartree–Fock exchange [41, 42].

The TD-CAM-B3LYP excitation spectra for these optimized structures are compared to the experimental absorption spectra in Fig. 3. The maximum absorption region of OPV₃-COOH is the excitation from HOMO to LUMO at 430 nm. The experimental spectra were observed in toluene and consist of two absorption bands at 430 and \sim 325 nm. The TD-CAM-B3LYP absorption spectrum of the compound shows two distinct absorption

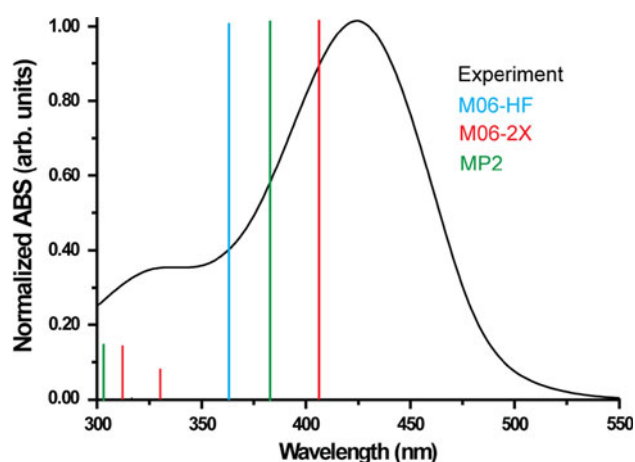


Fig. 3 Comparison of experimental spectrum (black line) and simulated absorption spectra with combined PCM-TD-CAM-B3LYP/6-311G(d,p) method and PCM solvent effect of toluene from M06-HF (blue line), M06-2X (red line) and MP2 (green line) optimized ground-state geometry

bands, which is in good agreement with the experimental spectra. Based on M06-2X, geometry has two peaks with a large oscillator strength, whereas the spectra of MP2 and M06-HF geometries show underestimation. These trends were well reproduced by the present TD-CAM-B3LYP calculations based on M06-2X optimization geometry. The M06-2X/6-31G(d) method is therefore selected for the ground-state geometry optimization. The non-planar geometries were selected to study the effect of spacer and anchor (or acceptor) groups of OPV₃-COOH molecule.

The molecular orbitals of OPV₃-COOH of the electronic transition states were calculated with PCM-TD-CAM-B3LYP/6-311G(d,p). The molecular orbitals are depicted in Fig. 4. The $S_0 \rightarrow S_1$ transition has the larger oscillator strength as the most probable transition from ground state to excited state of all transitions, corresponding to excitation from HOMO to LUMO. The LUMO orbital possesses a larger contribution of the carboxyl group, which is called anchor or acceptor group, located at the end of phenylene ring compared with the HOMO orbital, which is mainly located at the three phenylene rings. The electronic transition of OPV₃-COOH indicates that when an electron at ground state is excited by a photon from the sunlight, electron would transfer from the OPV₃-COOH to TiO₂ electrode. Because OPV₃-COOH is anchored onto the TiO₂ surface through carboxylic acid, electron injection process will be facilitated as the molecule is excited. The photoinduced electron transfer across the dye-semiconductor interface is governed by the electronic properties of the interface.

3.2 Effect of spacer groups and anchor

Into the non-planar ground-state geometry of OPV₃-COOH, various thiophene spacer groups were inserted, in particular one thiophene subunit, dithiophene and vinylene-thiophene (Fig. 1). These spacer groups are used for the synthesis of compounds that are expected to possess broad absorption spectra in the visible light region, an intense absorption and an increase in the electron transfer to the semiconductor. Additionally, a cyanoacrylic substituent with a strong electron withdrawing group as anchor leads to a new compound, which is used for a detailed investigation. The ground-state geometries were optimized using M06-2X/6-31G(d) level of theory, and the electronic transitions were calculated using PCM-TD-CAM-B3LYP/6-311G(d,p) level of calculation. First, the ground-state geometries are studied. The structures are shown in Table 3. The ground-state geometries of OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH and particularly the torsion angles are similar compared with OPV₃-COOH. The conformations of these structures are non-planar as the phenylene rings

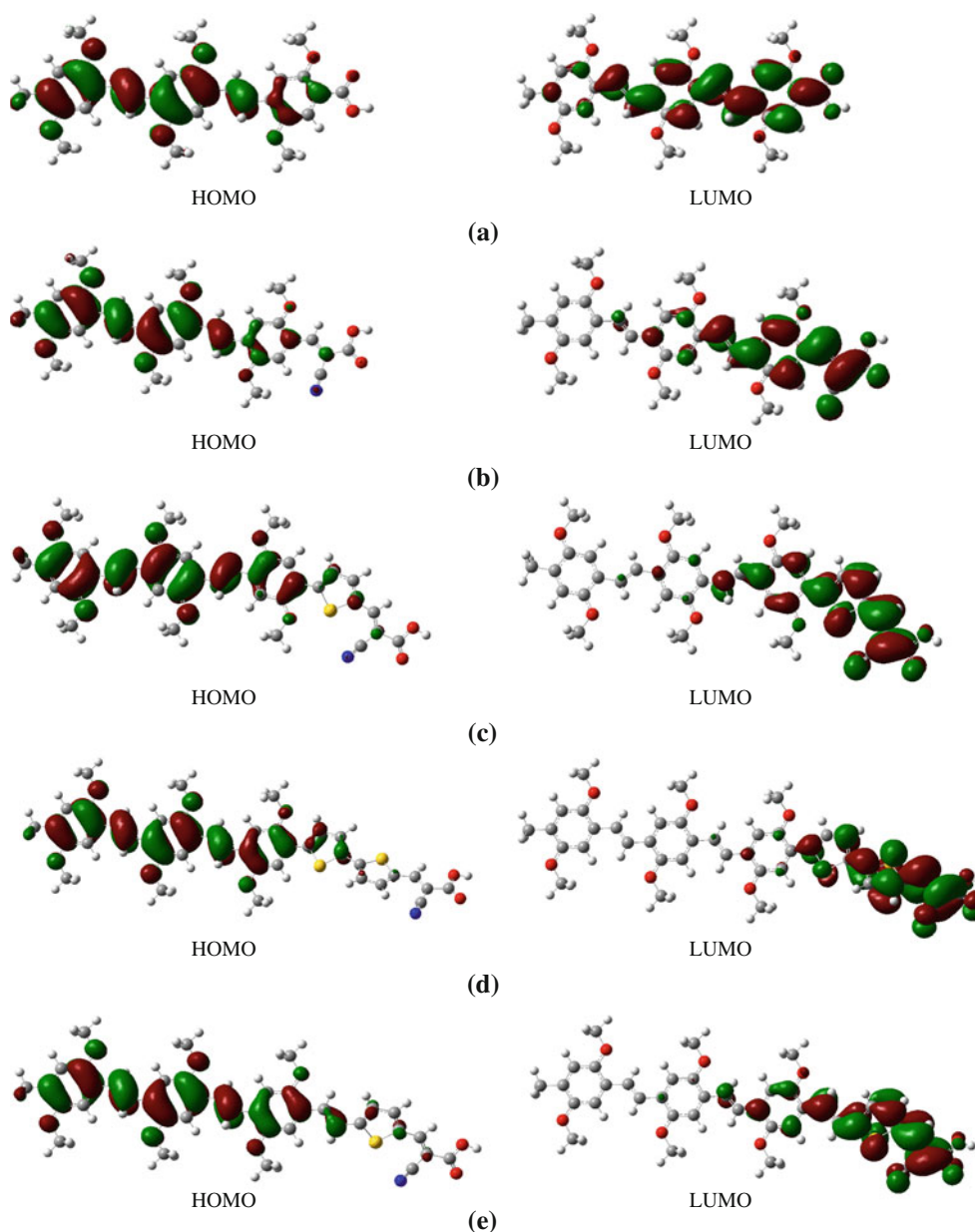


Fig. 4 Plots of the CAM-B3LYP/6-311G(d,p) molecular orbitals contributing significantly to the lowest energy transitions of studied molecules for (a) OPV₃-COOH, (b) OPV₃-CNCOOH, (c) OPV₃-Th-

CNCOOH, (d) OPV₃-diTh-CNCOOH and (e) OPV₃-viTh-CNCOOH. Depicted are two isosurfaces of equal values but opposite sign

are not in the plane of the linkers. The geometry optimization results show that the conformation of the chromophore for all compounds is non-planar, but the conformation of the spacer linkage twists out of the plane of the chromophore. In previous work, we showed the electronic properties of each conformers of DMTB in the three isomers, EE-, EZ- and ZZ- isomers [66]. It is indicated that depending on the conformation of the spacer linkage in the system can have a decisive influence on the shape of a spectrum. Therefore, these structures were confirmed to be global minimum by the vibrational

frequency analysis. The torsion angles between chromophore and thiophene linkages in OPV₃-Th-CNCOOH (38°) are more twisted than that of the vinylene linkage in OPV₃-viTh-CNCOOH (25°).

The most probable transitions of all compounds, which account from the highest oscillator strength, are listed in Table 4. The oscillator strength of OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH is 1.917, 2.096, 2.325, 2.831 and 2.835, respectively, corresponding to S₀ → S₁ (HOMO → LUMO) transition at 406, 460, 456, 466 and

Table 3 Structural parameters of OPV₃-CNCOOH derivatives obtained from fully optimization by M06-2X functional at 6-31G(d) level of basis set (bond lengths in Ångstrom, angles in degrees)

Parameters	OPV ₃ -COOH	OPV ₃ -CNCOOH	OPV ₃ -Th-CNCOOH	OPV ₃ -diTh-CNCOOH	OPV ₃ -viTh-CNCOOH
Bond length					
C1-C2	1.398	1.389	1.398	1.398	1.398
C2-C3	1.388	1.394	1.388	1.388	1.388
C3-C4	1.407	1.404	1.407	1.408	1.408
C4-C5	1.399	1.401	1.399	1.399	1.399
C5-C6	1.387	1.386	1.387	1.386	1.386
C6-C1	1.408	1.402	1.408	1.408	1.409
C4-C7	1.463	1.465	1.463	1.463	1.462
C7-C8	1.342	1.342	1.342	1.342	1.343
C8-C9	1.465	1.464	1.463	1.464	1.463
Bond angle					
C3-C4-C7	119.6	119.6	119.6	119.4	119.5
C4-C7-C8	125.8	125.9	125.9	126.2	126.2
Torsion angle					
C5-C4-C7-C8	-15.7	-10.4	-16.0	-9.6	-7.8
C7-C8-C9-C10	18.6	15.0	18.8	11.6	9.0
H-bond					
R1(O-H)	2.329	2.329	2.330	2.335	2.304
R2(O-H)	2.330	2.337	2.335	2.333	2.307
R3(O-H)	2.322	2.298	2.326	2.295	2.292
R4(O-H)	2.332	2.308	2.345	2.311	2.292
BLA	0.260	0.182	0.209	0.180	0.178

BLA and the mean relative error values are also listed

490 nm, respectively, whereas, the second absorption regions are 292, 302, 321, 339 and 334 nm, respectively. The calculated absorption spectra of all compounds including the toluene solvation effect are simulated and presented in Fig. 5. From OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH, the conjugated structures become more extended, although their phenylenevinylene chromophores are the same. The maximum absorption spectra of all compounds are red-shifted to 406, 460, 456, 466 and 490 nm with the expansion of their conjugated systems. The red-shift to the visible range in the absorption spectra of the π -conjugated system from OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH is desirable for absorbing the sunlight and good employing as photo-sensitizer in DSSCs. Comparing different types of functional spacers, the absorption wavelength of OPV₃-viTh-CNCOOH (490 and 334 nm) and OPV₃-diTh-CNCOOH (466 and 339 nm) is longer than that of OPV₃-Th-CNCOOH (456 and 321 nm) because OPV₃-viTh-CNCOOH is more planar than OPV₃-Th-CNCOOH, as a consequence of the more extended π -conjugated system. The absorption wavelength of OPV₃-viTh-CNCOOH and

OPV₃-diTh-CNCOOH is more red-shifted than that of OPV₃-Th-CNCOOH, which is in agreement with results found for other function spacers [6, 9, 10, 18]. Then, vinylene linkage spacers lead to a more planar conformation, high π -conjugation and broad absorption in visible region compared with the thiophene linkage. Therefore, the introduction of different spacer group (thiophene, dithiophene and vinylenethiophene) has a substantial influence on the resultant electronic properties of OPV₃-diTh-CNCOOH derivatives.

The HOMO and LUMO orbitals of all compounds are shown in Fig. 4. The LUMO orbital possesses for all compounds contributions to the carboxyl group, and a larger contribution of the thiophene spacer group near the carboxyl groups is observed. The results show that electron transfer from phenylenevinylene chromophore via thiophene spacer to carboxyl anchor group takes place during the excitation process of OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH. The percentages of electron polarization that expands the different density of molecular orbitals between HOMO and LUMO of all compounds were calculated to explain the electron transfer from ground state to excited state. These results are shown in Table 5. The structures

Table 4 Calculated electronic transitions for OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH using PCM-TD-CAM-B3LYP/6-311G(d,p)//M06-2X/6-31G(d) in toluene solvent

State	nm	eV	<i>f</i>	Transition characters
OPV₃-COOH				
S ₀ → S ₁	406	3.05	1.917	H → L (84 %)
S ₀ → S ₂	330	3.76	0.028	H-2 → L (-30 %) + H-1 → L (37 %)
S ₀ → S ₃	312	3.97	0.075	H-2 → L (44 %) + H-1 → L (17 %)
S ₀ → S ₄	292	4.24	0.117	H-3 → L (43 %) + H → L + 1 (19 %)
S ₀ → S ₅	278	4.46	0.074	H → L + 1 (33 %) + H-3 → L (-20 %)
OPV₃-CNCOOH				
S ₀ → S ₁	460	2.69	2.096	H → L (68 %) + H-1 → L (16 %)
S ₀ → S ₂	372	3.33	0.084	H-2 → L (44 %) + H-1 → L (-20 %)
S ₀ → S ₃	329	3.77	0.089	H → L + 1 (36 %) + H-2 → L (-18 %)
S ₀ → S ₄	318	3.90	0.075	H-3 → L (20 %) + H-4 → L (-13 %)
S ₀ → S ₅	302	4.10	0.292	H-4 → L (22 %) + H → L + 1 (18 %)
OPV₃-Th-CNCOOH				
S ₀ → S ₁	456	2.72	2.325	H → L (53 %) + H-1 → L (-21 %)
S ₀ → S ₂	376	3.30	0.217	H → L + 1 (50 %) + H-1 → L (21 %)
S ₀ → S ₃	326	3.80	0.007	H-4 → L (35 %) + H → L (-14 %)
S ₀ → S ₄	321	3.86	0.400	H-4 → L (32 %) + H → L + 1 (20 %)
S ₀ → S ₅	313	3.96	0.008	H-2 → L + 1 (-20 %) + H-1 → L + 1 (25 %)
OPV₃-diTh-CNCOOH				
S ₀ → S ₁	466	2.66	2.831	H → L (39 %) + H-1 → L (-25 %)
S ₀ → S ₂	396	3.13	0.286	H → L + 1 (54 %) + H-1 → L (14 %)
S ₀ → S ₃	339	3.66	0.322	H-3 → L (34 %) + H-1 → L + 1 (16 %)
S ₀ → S ₄	332	3.73	0.056	H → L (39 %) + H-1 → L + 1 (25 %)
S ₀ → S ₅	312	3.97	0.047	H-2 → L + 1 (35 %)
OPV₃-viTh-CNCOOH				
S ₀ → S ₁	490	2.53	2.835	H → L (55 %) + H-1 → L (-21 %)
S ₀ → S ₂	391	3.17	0.185	H → L + 1 (48 %) + H-1 → L (-23 %)
S ₀ → S ₃	340	3.64	0.091	H-3 → L (48 %) + H-1 → L + 1 (20 %)
S ₀ → S ₄	334	3.72	0.323	H → L (34 %) + H → L + 1 (18 %)
S ₀ → S ₅	318	3.90	0.027	H-2 → L + 1 (22 %) + H-1 → L + 1 (17 %)

were separated into 4 parts, OPV1, OPV2, spacer and anchor. The difference of percent distribution between HOMO and LUMO for OPV₃-COOH at anchor part is very small (9 %), compared with OPV₃-CNCOOH (50 %), OPV₃-Th-CNCOOH (47 %), OPV₃-diTh-CNCOOH (52 %) and OPV₃-viTh-CNCOOH (45 %), which increases to ~80 % at OPV1, but decreases at OPV2, part for OPV₃-CNCOOH derivatives. The results indicate that electrons at chromophores (OPV1 and OPV2 parts) transfer to the anchor during excitation from HOMO to LUMO in the case of OPV₃-COOH and delocalize via spacer toward anchor in OPV₃-Th-CNCOOH derivatives. Then, the anchor (acceptor) group in OPV₃-COOH and OPV₃-CNCOOH derivatives that interacts with the surface of TiO₂ causes electron transfer from phenylenevinylene (chromophore) to anchor groups and injects electrons to the

conduction band of TiO₂. Moreover, spacer groups that link between chromophore and acceptor such as thiophene and vinylenethiophene increase the electron transfer value from chromophore to anchor group in OPV₃-CNCOOH derivatives.

The molecular orbitals (Fig. 4) and the percentage of electron polarization (Table 5) demonstrate that OPV₃-CNCOOH derivatives can increase the efficiency of electron transfer from 9 % in carboxyl group of OPV₃-COOH to 50 % in acrylic group. Then, the electron injection process from acrylic anchor group to the semiconductor conduction band is more probable than that of the carboxyl group. Additionally, the effects of spacer groups for increase in the efficiency of electron transfer were considered. Although the absorption wavelength of the vinylene linkage is longer than that of thiophene linkage, the

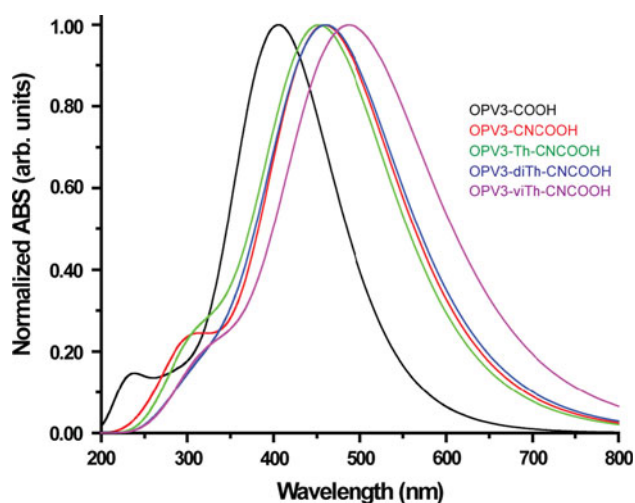


Fig. 5 Comparison of simulated absorption spectra for OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH using PCM-TD-CAM-B3LYP/6-311G(d,p) method

percentage of electron transfer at spacer and anchor groups of OPV₃-viTh-CNCOOH (83 %), OPV₃-Th-CNCOOH (77 %) and OPV₃-diTh-CNCOOH (77 %) is higher than of OPV₃-CNCOOH (50 %) and OPV₃-COOH (9 %). It indicates that the thiophene linkage spacer can increase the efficiency of electron transfer from chromophore to anchor more than the vinylene linkage spacer. However, the limit of cyanoacrylic acid group is about 50 % to receive electrons from chromophore and spacer.

As discussed about efficient charge injection, this is an important parameter for a sensitizer in DSSCs. The ionization potential (IP) and electron affinity (EA) are used to evaluate the energy barrier for injection of holes and electrons. The calculated results at M06-2X/6-31G(d) are listed in Table 6. The OPV₃-CNCOOH derivatives have a lower IP, -6.76 eV (OPV₃-CNCOOH), -6.67 eV (OPV₃-Th-CNCOOH), -6.63 eV (OPV₃-diTh-CNCOOH), -6.63 eV (OPV₃-viTh-CNCOOH) and a higher EA, -1.40 eV (OPV₃-CNCOOH), -1.35 eV (OPV₃-Th-CNCOOH), -1.53 eV (OPV₃-diTh-CNCOOH), -1.53 eV (OPV₃-viTh-CNCOOH) values compared to OPV₃-COOH, -6.71 eV (IP) and -0.71 eV (EA). The lower IP value (in the range of -6.63 to -6.76 eV) of OPV₃-CNCOOH derivatives indicates that the entrance of holes from the conducting transparent oxide to the hole-transport layer is easier compared to OPV₃-COOH. The same analogy was found for the higher EA value of OPV₃-CNCOOH derivatives, where there was an easier entrance of electrons from cathode to the electron-transport layer [3, 67]. This indicates that the use of spacer and cyanoacrylic groups resulted in the increase in the creation of holes and electrons.

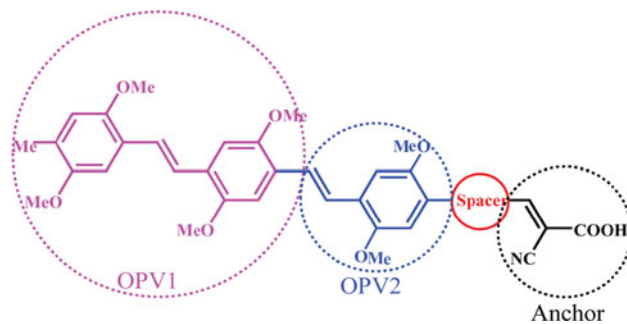
The charge mobility in organic materials can be described as a sequence of uncorrelated hops. In the case of

self-exchange reactions, i.e., electron-transfer reaction from a charged species to an adjacent neutral unit, the rate of charge transfer can be approximately described. The reorganization energy [68–70] is the energy required for all structural adjustments, which are needed in order to adopt the configuration of the compound that is necessary for the electron transfer process. The reorganization energy is mainly influenced by λ_i , which can be calculated according to the following formula

$$\lambda_i = [E_0^\pm - E_\pm^\pm] + [E_\pm - E_0] \quad (3)$$

where E_0^\pm is the energy of the cation (anion) calculated with the optimized structure of the neutral molecule, E_\pm^\pm is the energy of the cation (anion) calculated with the optimized cation (anion) structure, E_\pm is the energy of the neutral molecule calculated at the cationic (anionic) state and E_0 is the energy of the neutral molecule at ground state.

Table 6 lists the calculated internal reorganization energies for both holes (λ_{Hole}) and electrons ($\lambda_{\text{Electron}}$) calculated at M06-2X/6-31G(d). We then compared the reorganization energies with the short-circuit current density (J_{sc}), since J_{sc} values depend on the generation and collection of light generated carriers. It was observed that the trend for the total reorganization energies (λ_{Total}) was inversely proportional to the J_{sc} , i.e. as λ_{Total} decreases, the J_{sc} value increases, which was consistent with Eq. (3) that minimizing λ leads to a high electron-transfer rate [71]. Furthermore, the magnitudes of the charge-carrier transport rates should be balanced in order to attain a high luminous efficiency. The total reorganization energies for OPV₃-CNCOOH derivatives are lower, 1.66 eV (OPV₃-CNCOOH), 1.58 eV (OPV₃-Th-CNCOOH), 1.56 eV (OPV₃-diTh-CNCOOH) and 1.66 eV (OPV₃-viTh-CNCOOH) than the energy of OPV₃-COOH (1.84 eV). Upon comparison of the rates of the charge-carrier transport for both of the analogues, OPV₃-CNCOOH derivatives result in a more balanced transport rates than OPV₃-COOH due to the addition of spacer and cyanoacrylic group to OPV₃-COOH increasing the probability of confined excitons in the emitting layer as observed in other DSSCs [72, 73]. These data are confirmed by the results of previous studies [74–76]. Hagberg and coworker [17] had synthesized a series of organic chromophores in order to approach optimal energy level composition in the TiO₂-dye-iodide/triiodide system in the DSSCs. They found that HOMO and LUMO energy level tuning is achieved by varying the conjugation between the triphenylamine donor and the cyanoacetic acid acceptor. The percentage efficiencies ($\% \eta$) of vinylenethiophen (-viTh-CNCOOH) chromophores ($\% \eta = 3.08$) show more satisfactory efficiencies than -diTh-CNCOOH ($\% \eta = 2.75$), -Th-CNCOOH ($\% \eta = 2.75$) and -CNCOOH chromophores ($\% \eta = 1.55$) on thin

Table 5 The percentage of electron polarizations of HOMO and LUMO for OPV₃-COOH, OPV₃-CNCOOH, OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH, and OPV₃-viTh-CNCOOH

Compounds	State	% Electron polarizations			
		OPV1	OPV2	Spacer	Anchor
OPV ₃ -COOH	LUMO + 1	66	24	–	10
	LUMO	57	34	–	10
	HOMO	92	7	–	1
	HOMO – 1	81	18	–	1
	$\Delta\%H \rightarrow L$	35	–27	–	–9
OPV ₃ -CNCOOH	LUMO + 1	83	5	–	12
	LUMO	16	32	–	52
	HOMO	92	6	–	2
	HOMO – 1	84	13	–	3
	$\Delta\%H \rightarrow L$	76	–26	–	–50
OPV ₃ -Th-CNCOOH	LUMO + 1	73	13	5	9
	LUMO	6	13	33	48
	HOMO	86	10	3	1
	HOMO – 1	77	23	7	3
	$\Delta\%H \rightarrow L$	80	–3	–30	–47
OPV ₃ -diTh-CNCOOH	LUMO + 1	41	25	18	6
	LUMO	1	3	44	52
	HOMO	82	13	5	0
	HOMO – 1	57	28	14	1
	$\Delta\%H \rightarrow L$	81	10	–39	–52
OPV ₃ -viTh-CNCOOH	LUMO + 1	51	22	15	12
	LUMO	3	8	43	46
	HOMO	81	14	5	1
	HOMO – 1	57	29	11	2
	$\Delta\%H \rightarrow L$	78	6	–38	–45

TiO₂ films. These results show that tuning of the chromophores was successful and fulfilled the thermodynamic criteria for DSSCs. Thus, the theoretical studies of the electronic properties are important to design the superior organic dye molecules.

4 Conclusions

The optimized structural parameters of OPV₃-COOH and OPV₃-CNCOOH derivatives obtained from hybrid functional M06-HF, M06-2X and MP2 method at

Table 6 Ionization potential (IP), electron affinity (EA), intramolecular reorganization energies for hole (λ_{Hole}) and electron ($\lambda_{\text{Electron}}$) transport calculated by M06-2X/6-31G(d)

Parameter	OPV ₃ -COOH	OPV ₃ -CNCOOH	OPV ₃ -Th-CNCOOH	OPV ₃ -diTh-CNCOOH	OPV ₃ -viTh-CNCOOH
IP (eV)	-6.71	-6.76	-6.67	-6.66	-6.63
EA (eV)	-0.71	-1.40	-1.35	-1.51	-1.53
λ_{Hole} (eV)	0.85	0.89	0.87	0.86	0.87
$\lambda_{\text{Electron}}$ (eV)	0.99	0.77	0.71	0.69	0.79
λ_{Total} (eV)	1.84	1.66	1.58	1.56	1.66

6-31G(d) level are in agreement with the experimental data. The results show that in these structures, weak intramolecular hydrogen bonding occurs between the oxygen atoms of substituted alkoxy groups and the phenylene ring at the ortho-carbon and the hydrogen atom at the vinylene linkage. However, the systems are non-planar in the ground state. The structures of OPV₃-COOH obtained from various methods were used to calculate the electronic transitions using TD-CAM-B3LYP/6-311G(d,p) including CPCM toluene solvation effect to compare with the absorption band of this molecule in toluene. The result of the non-planar structure using M06-2X/6-31G(d) is closer to the experimental absorption data than the more planar structure using B3LYP/6-31G(d). The MP2/6-31G(d) calculations confirm the results of M06-2X/6-31G(d) very well. Therefore, in this study, PCM-TD-CAM-B3LYP/6-311G(d,p)//M06-2X/6-31G(d) and the non-planar ground-state geometry of phenylenevinylene chromophore were selected to investigate the effects of spacer and anchor groups for the structures and electronic properties of OPV₃-CNCOOH derivatives.

The anchor or acceptor group such as carboxylic acid that interacts with the surface of the semiconductor causes electron transfer from the chromophore to this anchor group. The spacer group such as thiophene that links between chromophore and anchor group increases the electron transfer and expands the π -conjugated system to cause absorption bands in the visible light region. The enhanced electron transfer and the red-shift in the absorption band from OPV₃-COOH, OPV₃-CNCOOH to OPV₃-Th-CNCOOH, OPV₃-diTh-CNCOOH and OPV₃-viTh-CNCOOH are desirable for gaining the sunlight and good employing as photo-sensitizer in DSSCs. The molecular orbitals and HOMO-LUMO energy values for OPV₃-CNCOOH derivatives demonstrate that the electron will transfer from the phenylenevinylene chromophore toward the spacer groups and inject to the carboxyl anchor group during the excitation process. Therefore, OPV₃-CNCOOH derivatives can be used for sensitizer in DSSCs. The modeling of spacer and anchor groups in this study may suggest new sensitizer compound that enhances the

efficiency of electron transfer and absorption of sunlight for the synthesis of new materials.

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